

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the patent application or Maier et al.

Serial No. 09/926821

Filing date: December 26, 2001

Title: A Solid Formulation of Glucosamine Sulphate

Commissioner for Patents Washington, D.C. 20231

STATEMENT OF DIETER WOLFGANG ENGEL

Sir:

I am a CEO employed by SCA Lohnherstellungs AG, a producer of pharmaceuticals in Kirchberg, Switzerland. I was responsible for securing signatures on the documents required for this application.

On or about April 22, May 24 and May 29, 2002, I sent the U.S. patent application identified above to Mr. Harish Parekh at his business address:

Pharma Base SA

Seepark

Churerstrasse 166

8808 Pfäffikon, Switzerland

and requested that he sing the declaration which was attached to the application. Mr. Parekh refused to sign. I believe he does not intend to sign the declaration, and that further attempts to secure his signature will be unsuccessful.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that the making of willful false statements or the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

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Dieter Wolfgang Engel Eschenstrasse 38 9524 Zulwil, Switzerland

September 27, 2002

## **DECLARATION FOR PATENT APPLICATION**

As the inventor(s) named below, I/we declare that:

I/we believe I/we am/are the original, joint and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled "A Solid Formulation of Glucosamine Sulphate",

which was described in International Application PCT/CH99/00289, filed July 2, 1999, the U.S. national phase of which is United States patent application No. 09/926821, filed December 26, 2001, which was amended December 26, 2001;

I/we have reviewed and understand the contents of the above-identified specification, including the claims thereof, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

I/we hereby claim foreign priority benefits under 35 U.S.C. 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Number	Country	Filing Date	Priority claimed

All statements made herein of my/our own knowledge are true, all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that the making of willful false statements or the like is punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patents issued thereon.

POWER OF ATTORNEY: I hereby appoint Charles Winston Fallow, Reg. No. 28,946 and Allen P. Rosenberg, Reg. No. 24,946 as my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Send correspondence to:

Charles W. Fallow Shoemaker and Mattare 2001 Jefferson Davis Highway - Suite 1203 Arlington, Virginia 22202

Full name of first joint inventor:	Hans-Jürgen MAIER	
Residence address:	Kappellstrasse 4 8636 Wald-Oberholz, Switzerland	
Citizen of:	Germany	
Signature: H. MA MAAA	Date: September 26, 2002	
Full name of second joint inventor:	Harish PAREKH	
Residence address:	Alte Steinacherstrasse 10 8804 Au Switzerland	
Citizen of:	India	
Signature: H W WATA  by Dr. Hans-Jürgen MAIER  on behalf of Harish PAREKH	Date: September 26, 2002	



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# STATEMENT OF RENE WENGER

Sir:

I am a patent attorney practicing in Switzerland. I represent the assignee of the patent application identified above and was responsible for obtaining signatures on the inventors' declaration for the application.

On June 20, 2002, I sent the patent application by mail to Mr. Harish Parekh, one of the inventors of the invention described and claimed in the application, with a written request that he sign the declaration and return it to my office. The letter was addressed to Mr. Parekh at his last known business address:

Pharma Base SA
Seepark
Churerstrasse 166
8808 Pfäffikon, Switzerland

Mr. Parekh has not answered my letter. To my knowledge, he has not signed the declaration, and I believe that he does not intend to sign the declaration.

RECEIVED

I hereby declare that all statements made herein of my own knowledge are true,

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and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that the making of willful false statements or the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

René Wenger

Hepp, Wenger & Ryffel AG Friedtalweg 5 9500 Wil Switzerland

September 26, 2002



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.

09/926821

Applicant

Maier et al.

Filing date

December 26, 2001

TC/A.U.

Office of Petitions

Examiner

Rafael Bacares

Docket No.

5088

Customer No.:

26936

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

# **DECLARATION OF STELLA XANTHIS**

I, Stella Xanthis, declare that:

I am a Paralegal working for the patent attorneys Hepp Wenger Ryffel AG in Wil, Switzerland. I am responsible for the administrative procedure of the application identified above.

On October 2, 2002, I wrote a letter, dictated by the responsible patent attorney, René Wenger, and addressed to Mr. Harish Parekh. A copy of that letter is attached to this statement as Appendix "A".

The letter was intended for delivery by registered mail with return receipt. I entered the letter into the internal post delivery recordal notebook (single page attached as Appendix "B"), according to the internal rules at Hepp Wenger Ryffel AG. See the RECEIVEI third line, where "E+R" means registered letter, return receipt. The letter was

delivered to the post office the same day (October 2), as confirmed by the post office by a stamp in the official recordal book for registered letters. One page of that book is attached as Appendix "C". The fourth entry, item 204, is marked with a bar code ending "2391".

We subsequently received a delivery confirmation from the post office (Appendix "D"). On the line which is crossed just under the date 3 Oct. 2003, there is an entry referring to Harish Parekh. The number in column 4 is 2391, which corresponds with the bar code label. In column 8 at the outer right side the word "Frist" appears next to the postman's initials. This is a German word meaning that Mr. Parekh was not at home and the postman left an invitation to pick up the letter at the post office. A signature "M. Parekh" appears at the bottom of the delivery card, a copy of which is the third page of Appendix D.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that the making of willful false statements or the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

17.9.03

(date)

(signature)